

CUSTOMER  
NUMBER 22852

*5/Pre A*  
*2/18/01* PATENT  
Atty. Dkt. No.: 7451.0004-02  
InterTrust Ref No.: IT 6.1.1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application: 09/221,479

Filed: December 28, 1998

Of: Karl L. GINTER, et al.

For: TRUSTED AND SECURE TECHNIQUES, SYSTEMS AND METHODS FOR ITEM  
DELIVERY AND EXECUTION

Group Art Unit: Unassigned

Examiner: Unassigned

SIR:

This is a request for filing a

■ Continuation □ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/221,479, filed December 28, 1998, which is a continuation of application Serial No. 08/699,711, filed August 12, 1996, now abandoned, which is a continuation-in-part of application Serial No. 08/388,107, filed February 13, 1995, now abandoned.

1. ■ Enclosed is a complete copy of the prior application as originally filed including the drawings but without the oath or Declaration. I hereby verify that the attached papers are a true copy of prior application Serial No. 08/699,711, as originally filed on August 12, 1996.
2. □ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ■ Cancel Claims 1-6.
4. ■ A Preliminary Amendment is enclosed.
5. ■ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L. L. P.  
STANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-6600

JCE12 U.S. PTO  
09/632944  
08/04/00

JCE03 U.S. PTO  
08/04/00

09/632944-030400

For	:	Number Filed	:	Number Extra	:	Rate	:	Basic Fee	\$	690.00
Total	:		:		:		:			
Claims	:	504	:	-20 =	:	x\$ 18.00=	:		\$	8,712.00
Independent	:		:		:		:			
Claims	:	4	:	- 3 =	:	1 x\$ 78.00=	:		\$	78.00
Multiple Dependent Claim(s) (if applicable)									+\$260.00=	
Total									=	\$ 9,480.00
Reduction by 1/2 for									:	
filing by small entity :									-	
TOTAL FILING FEE									=	\$ 9,480.00

6. ☒ A check in the amount of \$9,480.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:

✦ This application is a continuation of commonly assigned copending application Serial No. 09/221,479, filed December 28, 1998, which is a continuation of application Serial No. 08/699,711, filed August 12, 1996, now abandoned, which is a continuation-in-part of application Serial No. 08/388,107, filed February 13, 1995, now abandoned -- all of which are incorporated herein by reference.

9. ☒ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: InterTrust Technologies Corporation.
11. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. § 119. A certified copy
- ☐ is enclosed or ☐ is on file in the prior application.

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12. ☐ A verified statement claiming small entity status  
☐ is enclosed or ☐ is on file in the prior application.
13. ☐ A copy of a new power of attorney is enclosed. This power of attorney replaces the power of attorney filed in the prior application.
14. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial No. 09/221,479, filed December 28, 1998, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Date: August 3, 2000

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

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